

11/29/01

JC923 U.S. PTO

Please type a plus sign (+) inside this box ☐PTO/SB/05 (03-01)
Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	13261ROUSO2U
First Inventor	Gordon KERR
Title	STREAM PROCESSING NODE
Express Mail Label No.	

JC955 U.S. PTO
09/995697
11/29/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **32**]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **13**]
5. Oath or Declaration [Total Pages **3**]
a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.:

Prior application Information:

Examiner

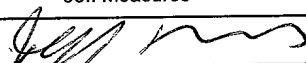
Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

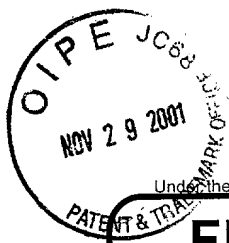
(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Jeff Measures				
Address	c/o Nortel Networks Limited (IP Law Group)				
	P.O. Box 3511, Station C				
City	Ottawa	State	Ontario	Zip Code	K1Y 4H7
Country	Canada	Telephone	(613) 768-3003	Fax	(613) 768-3017

Name (Print/Type)	Jeff Measures	Registration No. (Attorney/Agent)	40,272
Signature		Date	November 28, 2001

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the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
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Washington, DC 20231



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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 1374.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Gordon KERR
Examiner Name	
Group Art Unit	
Attorney Docket No.	13261ROUS02U

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number: 14-1315

Deposit Account Name: Nortel Networks Limited

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE						
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid			
101 740	201 370	Utility filing fee	740.00			
106 330	206 165	Design filing fee				
107 510	207 255	Plant filing fee				
108 740	208 370	Reissue filing fee				
114 160	214 80	Provisional filing fee				
SUBTOTAL (1)			(\$)	740.00		

2. EXTRA CLAIM FEES

Total Claims	39	-20** =	19	X	Fee from below	18	=	Fee Paid	342
Independent Claims	6	-3** =	3	X	84	=		252	
Multiple Dependent									

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				
				(\$) 594.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES								
Fee Code	Large Entity (\$)	Fee Code	Small Entity (\$)	Fee Description	Fee Paid			
105 130	205 65			Surcharge - late filing fee or oath				
127 50	227 25			Surcharge - late provisional filing fee or cover sheet				
139 130	139 130			Non-English specification				
147 2,520	147 2,520			For filing a request for <i>ex parte</i> reexamination				
112 920*	112 920*			Requesting publication of SIR prior to Examiner action				
113 1,840*	113 1,840*			Requesting publication of SIR after Examiner action				
115 110	215 55			Extension for reply within first month				
116 400	216 200			Extension for reply within second month				
117 920	217 460			Extension for reply within third month				
118 1,440	218 720			Extension for reply within fourth month				
128 1,960	228 980			Extension for reply within fifth month				
119 320	219 160			Notice of Appeal				
120 320	220 160			Filing a brief in support of an appeal				
121 280	221 140			Request for oral hearing				
138 1,510	138 1,510			Petition to institute a public use proceeding				
140 110	240 55			Petition to revive - unavoidable				
141 1,280	241 640			Petition to revive - unintentional				
142 1,280	242 640			Utility issue fee (or reissue)				
143 460	243 230			Design issue fee				
144 620	244 310			Plant issue fee				
122 130	122 130			Petitions to the Commissioner				
123 50	123 50			Processing fee under 37 CFR 1.17(q)				
126 180	126 180			Submission of Information Disclosure Stmt				
581 40	581 40			Recording each patent assignment per property (times number of properties)	40.00			
146 740	246 370			Filing a submission after final rejection (37 CFR § 1.129(a))				
149 740	249 370			For each additional invention to be examined (37 CFR § 1.129(b))				
179 740	279 370			Request for Continued Examination (RCE)				
169 900	169 900			Request for expedited examination of a design application				
Other fee (specify)								
SUBTOTAL (3)					(\$)	40.00		

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Jeff Measures	Registration No. (Attorney/Agent)	40,272
Signature		Telephone	(613) 768-3003
		Date	November 28, 2001

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